09-25-00 A

Customer No. 20350
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

Attorney Docket No. 18926-004600US

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By: Kay Barclay

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BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is the

[X] patent application of
[ ] continuation patent application of
[ ] divisional patent application of
[ ] continuation-in-part patent application of

Inventor(s)/Applicant Identifier: Sasha Medvinsky

For: INTERNET PROTOCOL TELEPHONY SECURITY ARCHITECTURE

[X] This application claims priority from each of the following Application Nos./filing dates: 60/128,772 filed April 9, 1999; PCT/US00/09318 filed April 7, 2000; PCT/US00/02174 filed January 28, 2000, the disclosure(s) of which is (are) incorporated by reference.

[ ] Please amend this application by adding the following before the first sentence: "This application is a [ ] continuation [ ] continuation-in-part of and claims the benefit of U.S. Provisional Application No. 60/_____, filed _____, the disclosure of which is incorporated by reference."

Enclosed are:

[X] 7 page(s) of specification
[X] 4 page(s) of claims
[X] 1 page of Abstract
[X] 7 sheet(s) of [X] formal [ ] informal drawing(s).
[ ] An assignment of the invention to _____
[ ] A [ ] signed [ ] unsigned Declaration & Power of Attorney
[X] A [ ] signed [X] unsigned Declaration.
[ ] A Power of Attorney by Assignee with Certificate Under 37 CFR Section 3.73(b).
[ ] A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 [ ] is enclosed [ ] was filed in the prior application and small entity status is still proper and desired.
[ ] A certified copy of a _____ application.
[ ] Information Disclosure Statement under 37 CFR 1.97.
[ ] A petition to extend time to respond in the parent application.
[ ] Notification of change of [ ] power of attorney [ ] correspondence address filed in prior application.
[ ]

	(Col. 1)		(Col. 2)
FOR:	NO. FILED		NO. EXTRA
BASIC FEE			
TOTAL CLAIMS	21	- 20 =	*1
INDEP. CLAIMS	6	- 3 =	*3
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED			

* If the difference in Col. 1 is less than 0, enter "0" in Col. 2.

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RATE	FEE	OR	RATE	FEE
	$345.00	OR		$690.00
x $9.00 =		OR	x $18.00 =	$18.00
x $39.00 =		OR	x $78.00 =	$234.00
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Respectfully submitted,
TOWNSEND and TOWNSEND and CREW LLP

William F. Vobach

William F. Vobach
Reg No.: 39,411
Attorneys for Applicant

Telephone:
(415) 576-0200

Facsimile:
(415) 576-0300

Attorney Docket No.: 18926-004600US
Client Reference: D2405

PATENT APPLICATION

INTERNET PROTOCOL TELEPHONY SECURITY ARCHITECTURE

Inventor(s):

Sasha Medvinsky
8873 Hampe Court
San Diego, CA 92129
a citizen of the United States of America

Assignee:

GENERAL INSTRUMENT CORPORATION
101 Tournament Drive
Horsham, PA 19044

Entity:

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 303-571-4000

INTERNET PROTOCOL TELEPHONY SECURITY ARCHITECTURE

This application claims priority from co-pending PCT Application No. PCT/US00/09318 filed on April 7, 2000 entitled, "Built-in Manufacturer's Certificates for a Cable Telephony Adapter to Provide Device and Service Certification," which claims priority from U.S. Application No. 60/128,772 entitled, "Internet Protocol Telephony Security Architecture" filed on April 9, 1999, as well as PCT Application No. PCT/US00/02174 filed on January 28, 2000 entitled "Key Management for Telephone Calls to Protect Signaling and Call Packets Between CTA's," all of which are hereby incorporated by reference for all that they disclose and for all purposes.

BACKGROUND

This invention relates generally to network security, and more particularly, to a system for providing key management between a server and a client, e.g., in a telephony or an IP telephony network.

In networks that are based on a client/server configuration, there is a need to establish a secure channel between the server and the clients. In addition, in networks that utilize a third party to certify a trust relationship, there is a need to provide an efficient mechanism that allows a key management message to be initiated by the server. In such networks that utilize a trusted third party for the server and client, the client can typically request an encrypted authentication token from the trusted third party that can be used to initiate key management with the specified server; however, the server will typically initiate the key management session directly with the client. It is less preferable for the server to obtain from the trusted third party encrypted authentication tokens for each of the clients. Such an approach would add overhead to a server, requiring it to maintain cryptographic state for each of the clients. If such a server were to fail, a backup server would be required to undergo a recovery procedure in which it has to obtain new authentication tokens for each of the clients. The clients need to be initialized during their provisioning phase to allow them to successfully authenticate to a trusted third party and obtain the encrypted authentication tokens. One proposed method for client initialization is disclosed in PCT Application No. PCT/US00/09318 entitled "BUILT-IN MANUFACTURER'S CERTIFICATES FOR A CABLE TELEPHONY ADAPTER TO

PROVIDE DEVICE AND SERVICE CERTIFICATION." Nevertheless, a need exists to provide an efficient mechanism through which the server can initiate the key management session with the client, as opposed to a system in which only the client can initiate such a session.

One such client/server network is the client/server network that exists in IP telephony. In IP telephony systems, a cable telephony adapter (CTA) device can be used to allow a user to send and receive information in secure transactions over an IP telephony network. In typical operation, a series of signaling messages are exchanged that register the CTA device with the IP telephony network before a secure channel with another user can be established. Therefore, the CTA device needs to be authenticated by the IP telephony system. Otherwise, the process would be open to denial of service attacks -- since some provisioning exchanges can be forged. In addition, it is desirable for the service provider to identify the CTA device -- to make sure that only authorized devices are allowed in its IP Telephony network.

SUMMARY OF THE INVENTION

One embodiment of the invention comprises a system for providing key management in a client/server network. This embodiment of the invention utilizes a method to provide key management by providing a server; providing a client configured to be coupled to the server; providing a trusted third party configured to be coupled to the client; and allowing the server to initiate the key management session with the client.

One embodiment is operable as a method to generate a trigger message at the server; generate a nonce at the server; and, convey the trigger message and the nonce to the client. At the client, the client receives the trigger message and the nonce and responds by conveying a response message with a return nonce. The server can then determine that the response message is valid by comparing the values of the returned_nonce and the nonce that was generated by the server.

In addition, one embodiment can be implemented in code and by circuitry operable to produce the acts of the method.

A further understanding of the nature of the inventions disclosed herein will be realized by reference to the remaining portions of the specification and the attached drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a flow chart demonstrating an overview of one embodiment of the invention.

FIGS. 2A and 2B show a more detailed flow chart demonstrating a key management session between a server and a client.

FIG. 3 shows steps of a key management session after the key management session is initiated.

FIG. 4 shows a general block diagram of a client/server/trusted third party network.

FIG. 5 shows a block diagram of an IP telephony network in which a cable telephony adapter, a signaling controller, and a key distribution center are coupled with one another.

FIG. 6 shows the implementation of the data structures for establishing a key management session as implemented by one embodiment of the invention.

DESCRIPTION OF THE SPECIFIC EMBODIMENTS

FIG. 1 shows a flow chart demonstrating an overview of one embodiment of the invention. In flow chart 100, a server is provided 104 and a client coupled to the server is also provided 108. A trusted third party for the server and the client is provided 112 and the server is allowed to initiate a key management session with the client by utilizing a nonce 116.

It should be understood that a server is a shared computer on a network, such as a signaling controller used in an IP telephony network. Furthermore, it should be understood that a client is a computer or device served by another network computing device, such as a cable telephony adapter (client) being served by a signaling controller (server) via an IP telephony system. In addition, it should be understood that a trusted third party for the server and the client is a device or computer utilized by at least two parties that facilitates cryptographic processes such as certifying the identity of one of the two parties to the other. Finally, it should be understood that a nonce is a number generated that is utilized only once. The use of a nonce helps to prevent an attacker from implementing a replay attack. Such a nonce can be generated randomly.

The method of FIG. 1 can be better understood by reference to FIG. 2A and FIG. 2B. In the method designated 200 in FIG. 2A and FIG. 2B, a server such as a signaling controller in an IP telephony system is provided 204. In addition, a client such

as a cable telephony adapter in an IP telephony system is also provided 208. A trusted third party for the client and server, such as a key distribution center in an IP telephony system, is provided 212, as well. The server, client, and trusted third party are coupled to one another. Typically, the client initiates key management sessions with the server. However, there will be times when the server will need to initiate a key management session with the client. Rather than authenticating the trigger message (e.g. with a digital signature and certificate), the invention can utilize a nonce in the authentication of the subsequent AP Request message from the client. This embodiment of the invention does not prevent an adversary (impersonating a legitimate server) from sending an illicit trigger message to the client and fooling it into responding with an AP Request. Instead it provides that such an AP Request will be rejected by the legitimate server. This mechanism is designed to reduce the server's overhead of initiating key management exchanges with its clients, while still maintaining sufficient security. Thus, in 216 a trigger message is generated at the server to initiate a key management session. Then, a nonce is generated at the server 220 and the nonce and trigger message are coupled together and conveyed to the client 224. The client receives the trigger message and the nonce 228. Then the client designates the nonce as a returned_nonce 232. In this way, the client can return the received nonce to the server for verification that the message is from the client. In 236, a second nonce is generated at the client. The second nonce is for use by the server and client as part of the key management session being initiated. The client generates a response message to the trigger message that was received from the server 240. Then the response message, the returned_nonce, and the second nonce are conveyed to the server 244.

At the server, the value of the returned_nonce is compared to the value of the nonce which was generated at the server. If the values of the returned_nonce and the nonce stored at the server are equivalent, the key management session can proceed. However, if the value of the returned_nonce does not equal the value of the nonce stored at the server then a determination is made that the returned_nonce is actually a false nonce 252. In such a case there is a possibility that the signal has been corrupted; or, there is a possibility that an attacker is trying to initiate a service attack. In a service attack, the attacker tries to fraudulently initiate a rekeying session in order to cause the server to utilize processor cycles which prevent the processor from utilizing those cycles for other operations. Thus the server would become less effective under such an attack than it would be under normal conditions. By repeating such an attack, an attacker can

prevent the server from operating efficiently and thus can compromise the operation of the client server network, such as an IP telephony network. If the returned_nonce is determined to be not equivalent to the value of the nonce stored at the server, the response message sent with the returned_nonce is disregarded as being unauthenticated 256. However, if the returned_nonce does equal the value of the nonce stored at the server, then the key management session continues 260.

FIG. 3 shows additional steps in a typical key management session as highlighted by block 260 in FIG. 2B. In FIG. 3, method 300 shows that an application (AP) REPLY is generated 364 by the server. The AP REPLY is conveyed to the client with the second nonce that was generated by the client 368. The AP Request is an abbreviation for Application Request and AP Reply stands for Application Reply. For example, these two messages can be specified by the Kerberos Key Management standard (see IETF RFC 1510). As a further example, in the context of Kerberos, the second notice can be the client's time expressed in microseconds. When the AP REPLY and second nonce are received at the client, the client transmits a security association (SA) recovered message to the server 372. This completes the applicable Kerberos key management session.

FIG. 4 shows a block diagram of a client/server/trusted third party network. A client 401 is coupled with a server 402. In addition, the client is coupled with a trusted third party 404. The trusted third party is also coupled with the server 402. FIG. 4 thus demonstrates the network within which one embodiment of the invention can be implemented.

In FIG. 5 an IP telephony network implementing one embodiment of the invention is demonstrated. A client such as a cable telephony adapter 501 is coupled with a server, such as signaling controller 502. Furthermore, the cable telephony adapter and signaling controller are also coupled to a trusted third party, illustrated as key distribution center 504. Furthermore the signaling controller is coupled with the IP telephony network 508. Such a network as that illustrated in FIG. 5 would be useful for establishing an IP telephony call from a user who is coupled to the cable telephony adapter through the IP telephony network 508 to another user connected to a similar network. Thus the user can be authenticated as the calling party through the cable telephony adapter and signaling controller when the call is placed across the IP telephony network. Further details of such a network are illustrated in the references which were incorporated by reference.

FIG. 6 illustrates data structures for implementing a Kerberos key management session initiated by a server in a client/server network. In FIG. 6 a nonce number 1 is coupled with an initiation signal such as a trigger or wakeup message and the combined message is transmitted across an interface 601 to the client. The client stores nonce number 1. It then adds nonce number 2 and an application request in data structure such as that shown in FIG. 6. This set of data is then transmitted across the interface back to the server. The server compares the value of received nonce number 1 with the value of nonce number 1 stored at the server so as to confirm the authenticity of the AP Request. Upon authenticating the AP Request, the server generates an AP Reply and couples it with nonce number 2 which was generated by the client. The combined nonce number 2 and AP Reply are then transmitted across the interface to the client. The client is able to verify the authenticity of the AP Reply by comparing the value of nonce number 2 received from the server with the value of nonce number 2 stored at the client. Upon authenticating the AP Reply, the client generates a Security Association (SA) recovered message and transmits that across the interface to the server. This Kerberos-based key management protocol is thereby implemented in an efficient way and furthermore allows the server to initiate the key management session with the use of only an additional nonce as overhead to the initiation message. Thus the method is highly efficient in that only a nonce need be used in the authentication process of the initiation message.

In addition to embodiments where the invention is accomplished by hardware, it is also noted that these embodiments can be accomplished through the use of an article of manufacture comprised of a computer usable medium having a computer readable program code embodied therein, which causes the enablement of the functions and/or fabrication of the hardware disclosed in this specification. For example, this might be accomplished through the use of hardware description language (HDL), register transfer language (RTL), VERILOG, VHDL, or similar programming tools, as one of ordinary skill in the art would understand. The book "A Verilog HDL Primer" by J. Bhasker, Star Galaxy Pr., 1997 provides greater detail on Verilog and HDL and is hereby incorporated by reference for all that it discloses for all purposes. It is therefore envisioned that the functions accomplished by the present invention as described above could be represented in a core which could be utilized in programming code and transformed to hardware as part of the production of integrated circuits. Therefore, it is desired that the embodiments expressed above also be considered protected by this patent in their program code means as well.

It is noted that embodiments of the invention can be accomplished by use of an electrical signal, such as a computer data signal embodied in a carrier wave, to convey the pertinent signals to a receiver. Thus, where code is illustrated as stored on a computer medium, it should also be understood to be conveyable as an electrical signal. Similarly, where a data structure is illustrated for a message, it should be understood to also be capable of being embodied in an electrical signal for transmission across a medium, such as the internet.

It is also noted that many of the structures and acts recited herein can be recited as means for performing a function or steps for performing a function, respectively. Therefore, it should be understood that such language is entitled to cover all such structures or acts disclosed within this specification and their equivalents, including the matter incorporated by reference.

It is thought that the apparatuses and methods of the embodiments of the present invention and many of its attendant advantages will be understood from this specification and it will be apparent that various changes may be made in the form, construction and arrangement of the parts thereof without departing from the spirit and scope of the invention or sacrificing all of its material advantages, the form herein before described being merely exemplary embodiments thereof.

WHAT IS CLAIMED IS:

1. A method of providing key management comprising:
providing a server;
providing a client configured to be coupled to said server;
providing a trusted third party configured to be coupled to said client;
allowing said server to initiate a key management session with said client

2. The method as described in claim 1 wherein said allowing said server to initiate said key management session with said client comprises:
generating a trigger message at said server;
generating a nonce at said server;
conveying said trigger message and said nonce to said client.

3. The method as described in claim 2 and further comprising:
receiving said trigger message and said nonce at said client;
generating a response message to said trigger message;
conveying said response message and a returned_nonce to said server.

4. The method as described in claim 3 and further comprising:
predetermining an out-of-bounds value for said nonce to prevent an attacker from simulating a client initiated key management session;
checking said nonce to determine whether the value of said nonce is said out-of-bounds value.

5. The method as described in claim 3 and further comprising:
confirming the value of said returned_nonce at said server; and
conveying a reply message from said client to said server.

6. The method as described in claim 1 and further comprising:
receiving from said client a response message and a false_nonce at said server;
determining that said false_nonce is false;
disregarding said client response message.

7. A method of providing key management in a Kerberos based system, said method comprising:
providing a server;

providing a client configured to be coupled to said server;

providing a key distribution center configured to act as a trusted third party for said client and said server;

initiating a key management session by said server with said client.

8. The method as described in claim 7 and further comprising:

generating a trigger message at said server;

generating a nonce at said server;

conveying said trigger message and said nonce to said client.

9. The method as described in claim 8 and further comprising:

receiving said trigger message and said nonce at said client;

generating a response message to said trigger message;

conveying said response message and a returned_nonce to said server.

10. The method as described in claim 9 and further comprising:

confirming the value of said returned_nonce at said server; and then

continuing with said key management session.

11. The method as described in claim 7 and further comprising:

receiving at said server a response message and a false_nonce from said client;

determining that said false_nonce does not match said nonce;

determining that said server did not initiate said key management session.

12. A method of initiating a key management session for a cable telephony adapter (CTA. and a Signaling Controller in an IP Telephony network, the method comprising:

providing said Signaling Controller;

providing said CTA configured to be coupled to said Signaling Controller;

providing a key distribution center (KDC.;

generating a trigger message at said Signaling Controller;

generating a nonce at said Signaling Controller;

coupling said nonce with said trigger message;

transmitting said nonce coupled with said trigger message to said CTA;

generating a response message to said trigger message;

using the value of said nonce as the value of a returned_nonce;

coupling said response message with said returned_nonce;

transmitting said returned_nonce and said response message to said Signaling Controller;

comparing said returned_nonce to said nonce;

transmitting an AP reply in reply to said response message;

transmitting an SA recovered message to said Signalling Controller.

13. A method of conveying a key from a server to a client, comprising:

generating a wakeup message at said server;

generating a server_nonce at said server;

conveying said wakeup message and said nonce to said client;

generating an AP request message at said client;

conveying a client_nonce and said AP request message to said server;

confirming that said client_nonce conveyed with said AP request message matches said server_nonce generated at said server;

14. A method of confirming that a message received by a server from a client was triggered by the server:

receiving an AP request message from said client;

receiving a client_nonce from said client wherein said client_nonce is associated with said AP request;

determining whether said client_nonce matches a nonce conveyed from said server.

15. The method as described in claim 14 and further comprising:

determining that said client_nonce does not match said nonce conveyed from said server; and

disregarding said AP request.

16. The method as described in claim 15 and further comprising:

awaiting at said client for a reply from said server to said AP request;

aborting said AP request session after a predetermined time period if no reply is received from said server.

17. The method as described in claim 14 and further comprising:

determining that said client_nonce does match said nonce conveyed from said server; and

generating an AP reply at said server to said AP request.

18. A system for providing key management in a Kerberos based system, said system comprising:

a server;

a client configured to be coupled to said server;

a key distribution center configured to act as a trusted third party for said client and said server;

computer code coupled to said server operable to initiate a key management session by said server with said client.

19. The system as described in claim 18 wherein said computer code operable to initiate a key management session comprises computer code operable to generate a trigger message at said server; and further comprising:

computer code coupled to said server operable to generate a nonce at said server; computer code coupled to said server operable to convey said trigger message and said nonce to said client.

20. The system as described in claim 19 and further comprising:

computer code coupled to said client operable to generate a response message to said trigger message;

computer code coupled to said client operable to convey said response message and a returned_nonce to said server.

21. The system as described in claim 20 and further comprising:

computer code coupled to said server operable to confirm the value of said returned_nonce at said server.

INTERNET PROTOCOL TELEPHONY SECURITY ARCHITECTURE

ABSTRACT OF THE DISCLOSURE

A system is provided in which a client/server/ network can implement a key management session when the server initiates the key management session utilizing a nonce. The nonce allows a wakeup or trigger message to be conveyed to the client such that a service attack on the server can be avoided when a false nonce is received by the server with an AP request message. Thus the server can disregard AP request messages that are not accompanied by a nonce stored by the server. The method can be implemented through circuitry, electrical signals and code to accomplish the acts described in the method.

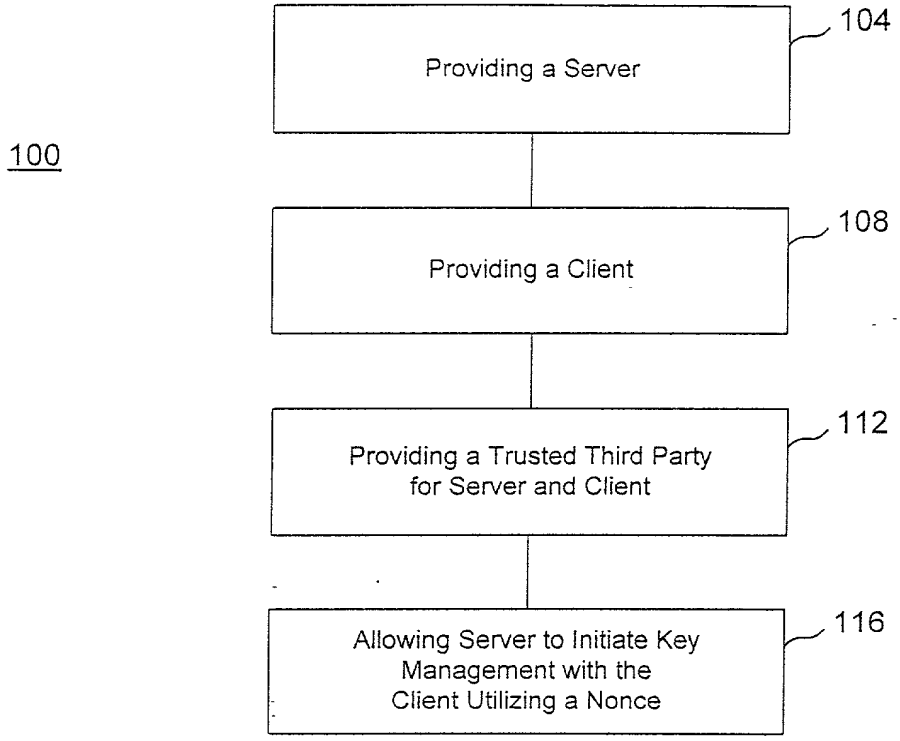
100
Providing a Server
104
Providing a Client
108
Providing a Trusted Third Party for Server and Client
112
Allowing Server to Initiate Key Management with the Client Utilizing a Nonce
116

FIG. 1

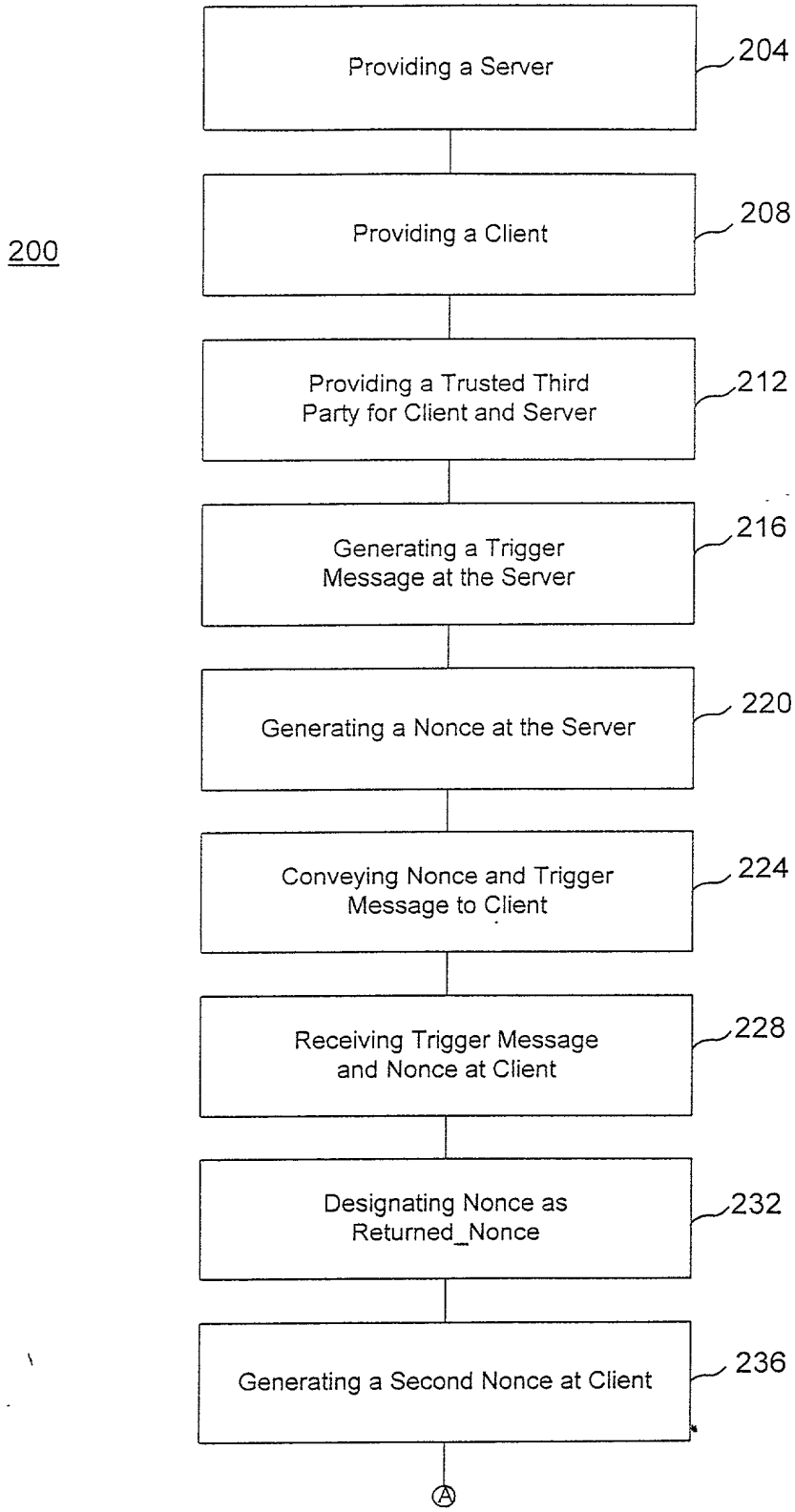
200
Providing a Server
204
Providing a Client
208
Providing a Trusted Third Party for Client and Server
212
Generating a Trigger Message at the Server
216
Generating a Nonce at the Server
220
Conveying Nonce and Trigger Message to Client
224
Receiving Trigger Message and Nonce at Client
228
Designating Nonce as Returned_Nonce
232
Generating a Second Nonce at Client
236
A

FIG. 2a

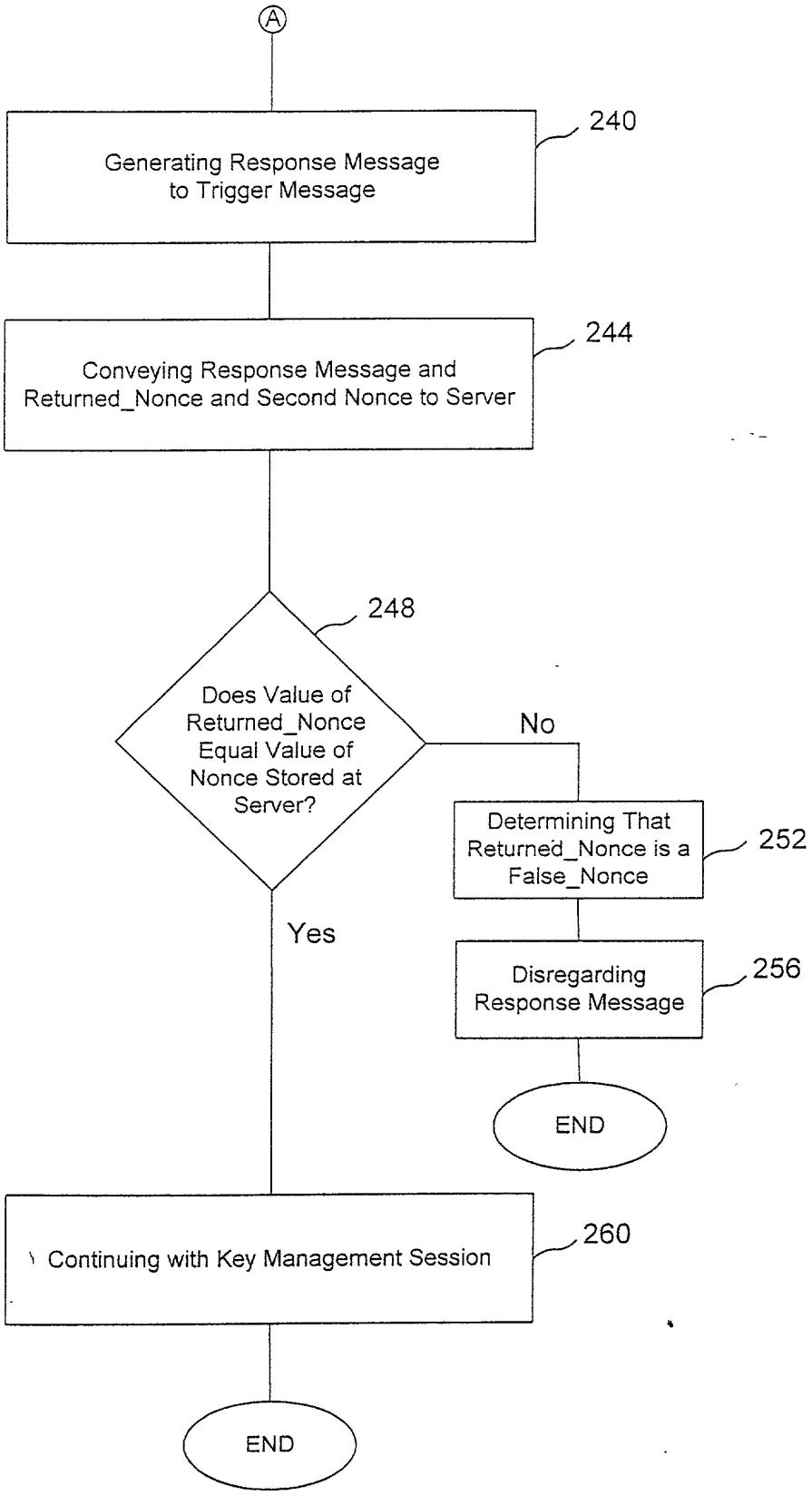
A
Generating Response Message to Trigger Message
240
Conveying Response Message and Returned_Nonce and Second Nonce to Server
244
248
Does Value of Returned_Nonce Equal Value of Nonce Stored at Server?
No
Determining That Returned_Nonce is a False_Nonce
252
Disregarding Response Message
256
END
Yes
Continuing with Key Management Session
260
END

FIG. 2b

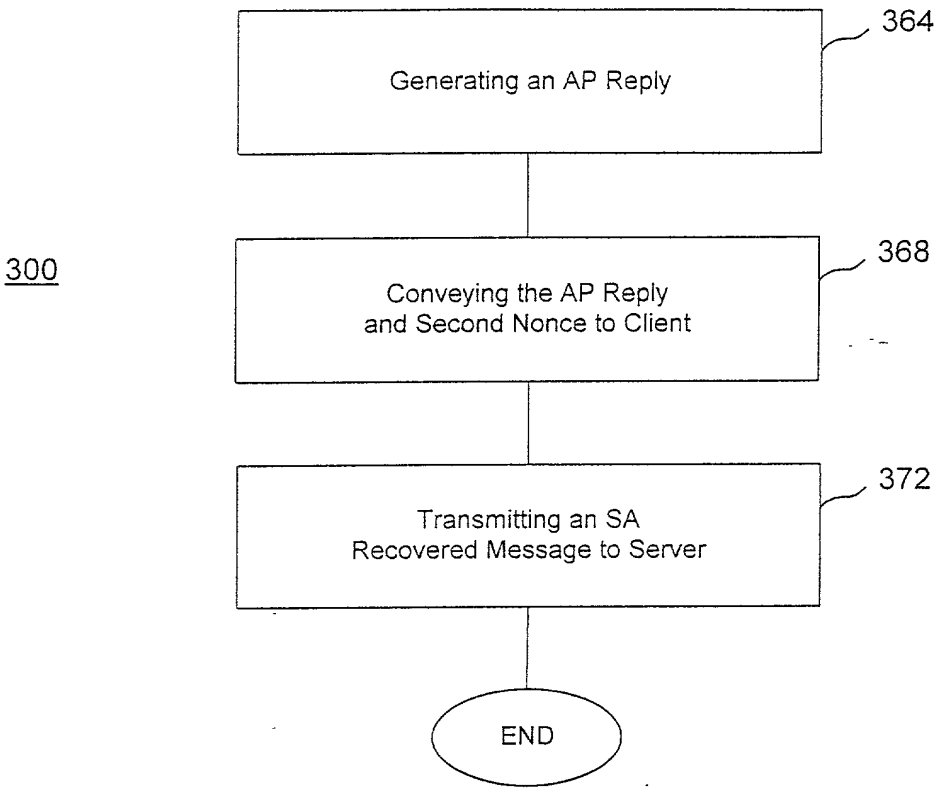
300
Generating an AP Reply
364
Conveying the AP Reply
and Second Nonce to Client
368
Transmitting an SA
Recovered Message to Server
372
END

FIG. 3

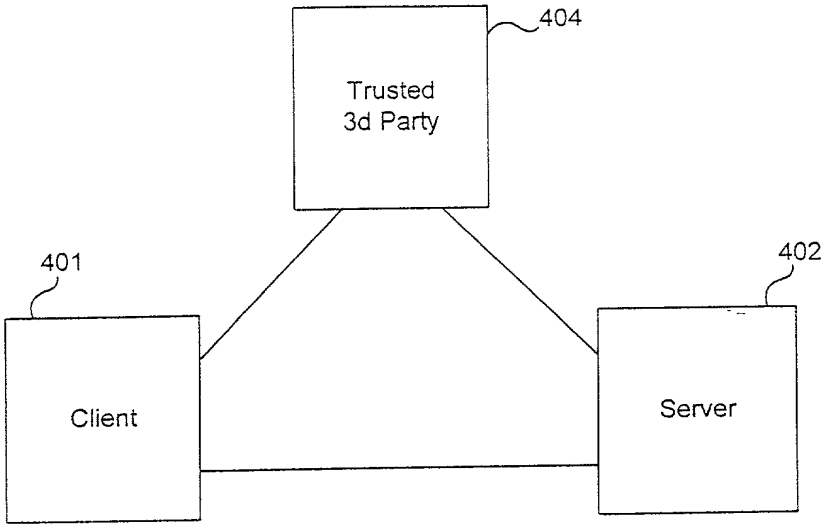

FIG. 4

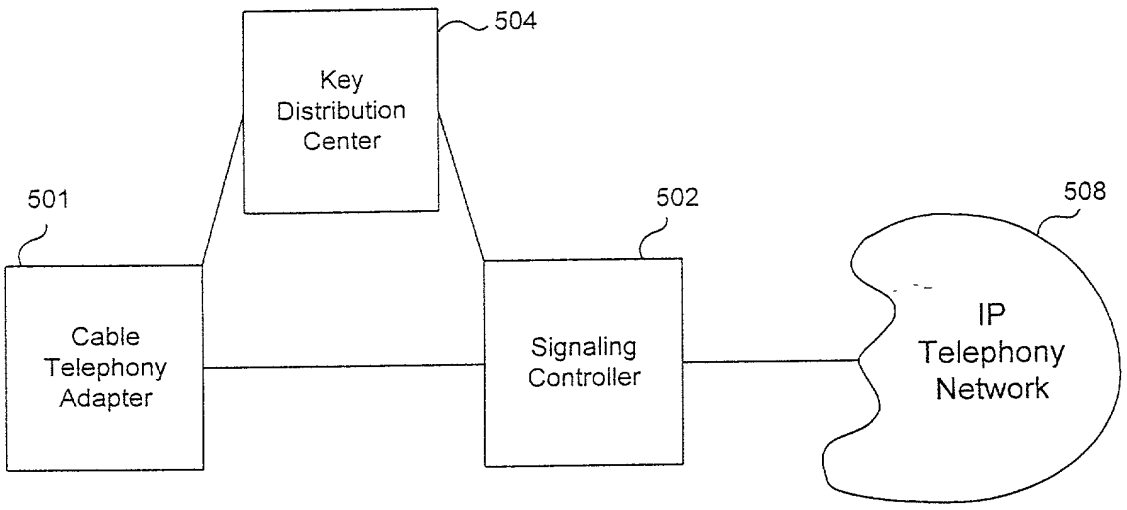
504
Key
Distribution
Center
501
502
508
Cable
Telephony
Adapter
Signaling
Controller
IP
Telephony
Network

FIG. 5

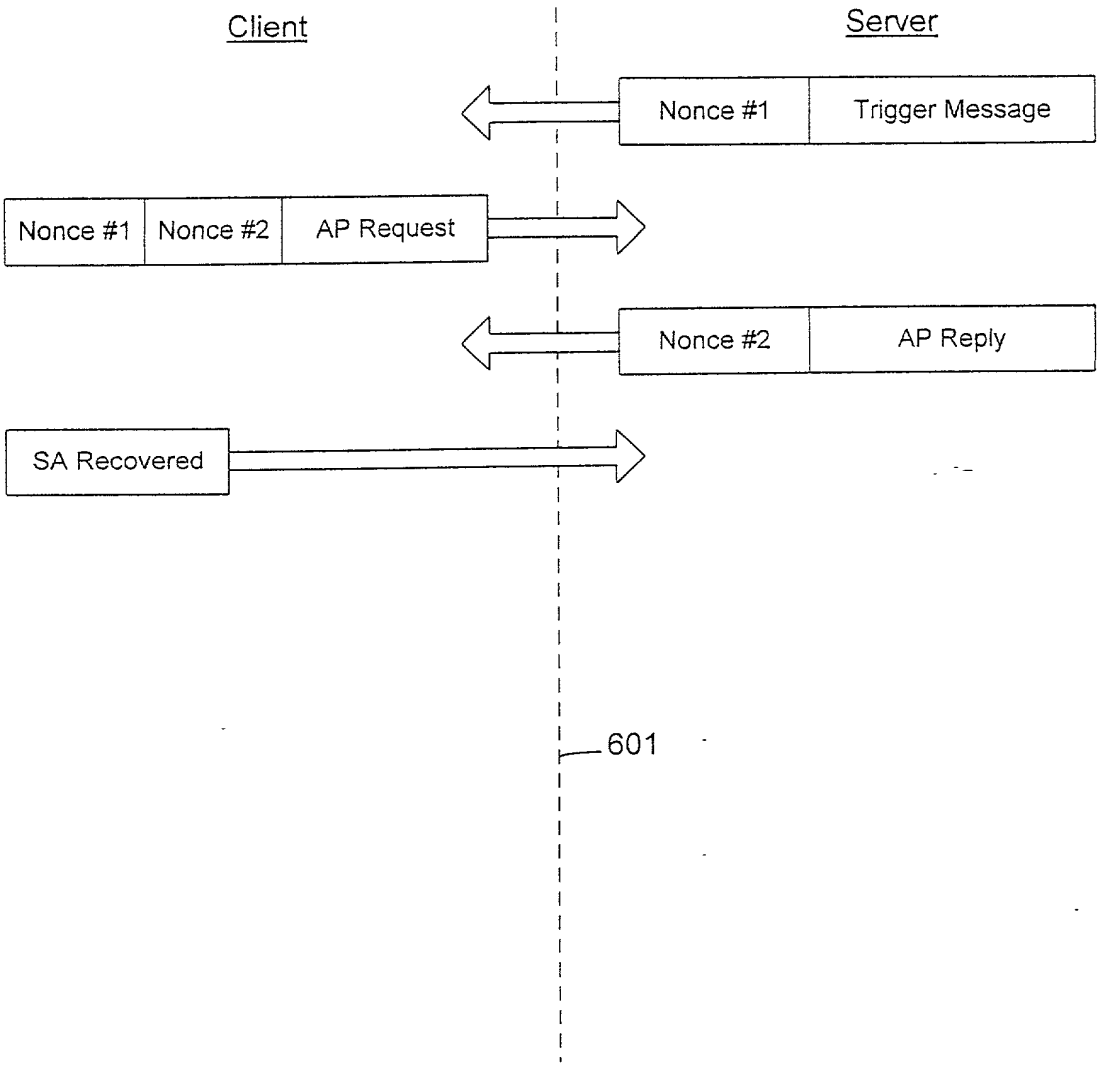
Client
Server
Nonce #1
Trigger Message
Nonce #1
Nonce #2
AP Request
Nonce #2
AP Reply
SA Recovered
601

FIG. 6

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **INTERNET PROTOCOL TELEPHONY SECURITY ARCHITECTURE** the specification of which __X__ is attached hereto or ____ was filed on _____ as Application No. _____ and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/128,772	April 9, 2000

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
PCT/US00/09318	April 7, 2000	Pending
PCT/US00/02174	January 28, 2000	Pending

Full Name of Inventor 1:	Last Name: **MEDVINSKY**	First Name: **SASHA**	Middle Name or Initial:	
Residence & Citizenship:	City: **San Diego**	State/Foreign Country: **California**	Country of Citizenship: **United States**	
Post Office Address:	Post Office Address: **8873 Hampe Court**	City: **San Diego**	State/Country: **California**	Postal Code: **92129**

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1
_____
Sasha Medvinsky
Date

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